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# Sustainable Procurement Policy of Jinko Solar

## 一、 Introduction

Jinko Solar Co., Ltd. (referred to as "Jinko Solar" or "the Company") strictly adheres to international initiatives and standards such as the *Universal Declaration of Human Rights*, the *International Labor Organization Conventions*, the *United Nations Guiding Principles on Business and Human Rights*, the *OECD Guidelines for Multinational Enterprises on Responsible Business Conduct*, the *OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas* (referred to as "*OECD Due Diligence Guidance*"), etc. The Company has put forward clear management requirements regarding suppliers' labor rights, working conditions, diversity, business ethics, information security and privacy protection, environmental protection, conflict minerals, etc., and requires all suppliers and their upstream suppliers to strictly comply with these requirements and actively practice. The Sustainable Procurement Policy of Jinko Solar (referred to as "the Policy") aims to guide the Company and its suppliers to strictly adhere to the principles of sustainable development in procurement activities, and encourage all suppliers and their upstream suppliers to take positive actions in ESG management and practice to mitigate the adverse impact on the environment, promote the improvement of social responsibility performance, and improve the overall efficiency and transparency of the supply chain.<sup>1&2</sup>

## 二、 Scope of Application

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<sup>1</sup> Unless otherwise specified, the term "suppliers" mentioned in the Policy refer to the direct suppliers of Jinko Solar.

<sup>2</sup> Conflict minerals: As defined in the Section 1502(e)(4) of the Dodd Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank Act), "Conflict Minerals" means Columbite-Tantalite (Coltan), Cassiterite, Gold, Wolframite, or their derivatives, or any other mineral or its derivatives determined by the U.S. Secretary of State to be financing conflict in the Democratic Republic of the Congo (DRC) or an adjoining country.

The Policy applies to all business and operational activities of the Company and its subsidiaries, including production and operation facilities, business facilities, products and services, distribution and logistics, waste management, etc., which are directly or indirectly controlled or operated by the Company or its subsidiaries. It also encourages all directors, senior management, and employees of the Company, as well as value chain partners (including service providers, suppliers, contractors, etc.) to adhere to the Policy and jointly promote the sustainable development of the supply chain. The Policy also applies to other key business partners (including distributors, consultants, outsourcing partners, etc.). The Policy is applicable simultaneously to all business activities of the Company such as mergers and acquisitions and due diligence activities carried out globally. The Company also undertakes to exert influence on non-controlling joint ventures and urges them to act in accordance with the relevant provisions of the Policy.

### **三、 Release Statement**

The Company's sustainable procurement management efforts are overseen by the Board of Directors as the highest decision-making and supervisory body. The release of relevant policies and commitments has been approved by the Company's Board of Directors and senior management. Generally, the review and revision cycle of the Policy is yearly, to ensure the timeliness and applicability of the Policy. The Policy is prepared in both Chinese and English versions. Any inconsistency between the Chinese and English versions, the Chinese version shall prevail.

### **四、 Commitments and Actions for Responsible Supply Chain Management**

Jinko Solar has established a comprehensive supply chain ESG management system and strictly implements the management requirements of a responsible supply chain. The Company also requires its suppliers to manage their own and their upstream suppliers' ESG performance, and jointly build a responsible supply chain. Suppliers shall, in accordance with the requirements of the Company, truthfully and accurately disclose the ESG information of the supply chain.

## 1. Supply Chain ESG Management System

- **Supplier ESG management and supervision:** The senior management of the Company is fully engaged in the management and supervision of sustainable supply chain construction. The Board of Directors serves as the highest management, supervision and guidance body for sustainable supply chain construction. A special committee, the Strategy and Sustainable Development Committee, is established under the Board of Directors to represent the Board of Directors in exercising daily management, supervision and guidance responsibilities for sustainable supply chain construction. The Company's Risk Compliance and ESG Management Committee is responsible for overall management of sustainable supply chain construction. The Secretariat of the Risk Compliance and ESG Management Committee formulates plans for sustainable supply chain construction, and the Supply Chain Management System and other departments related to sustainable supply chain issues support the implementation of specific actions.
- **Supplier ESG management policy:** The Company has established the *Jinko Solar Supply Chain Partner Code of Conduct* (referred to as "COC"), with the link being <https://www.jinkosolar.com/uploads/JKSPartnerCOC.pdf>. The COC outlines the principles that suppliers are required to comply with in ESG management dimensions such as the ESG management system, labor rights, occupational health and safety, environmental protection, business ethics, etc. The Company commits to maintaining a 100% annual signing rate of the COC by suppliers.
- **Supplier empowerment management:** The Company attaches great importance to supplier empowerment management and commits to achieving joint development with suppliers through multiple dimensions such as systematic training, performance incentives, systematic action plans, etc.
  - (1) **Training empowerment:** The Company provides systematic ESG training for suppliers and internal procurement staff of the Company. The training content covers basic ESG knowledge and key audit points (such as environmental protection, labor rights, business ethics, etc.), common ESG risks, etc.

Meanwhile, the Company assists suppliers in identifying their ESG management gaps and provides suggestions for formulating their ESG improvement plans by sharing best ESG practices and outstanding ESG cases with them. The Company commits to ensuring that 100% of internal procurement staff of the Company receive supply chain ESG compliance training annually, while 100% of key suppliers receive ESG audit course training annually.

**(2) Performance incentive:** The Company commits to integrating supplier ESG performance as a bonus item into the comprehensive performance evaluation of its internal procurement staff, and continuously promoting their participation in ESG training programs to incentivize suppliers to enhance their ESG management capabilities.

**(3) Systematic action plan:** The Company has established a systematic annual cyclical ESG action plan for suppliers covering "basic actions, expansion actions, and key systematic projects". Based on this systematic annual cyclical ESG action plan, the Company carries out in-depth technical support plans for suppliers through methods such as current situation research, on-site guidance, remote empowerment, etc. Through this systematic annual cyclical ESG action plan, the Company continuously assists suppliers in comprehensively enhancing their ESG capabilities and performance.

- **Ecosystem co-construction:** The Company, in collaboration with ecosystem partners, has jointly released the Global Solar Sustainable Alliance (GSSA). Specific action directions have been proposed for the three core pillars of "Just Transition", "People-Centricity", and "Improved Governance" in the Global Solar Sustainable Alliance (GSSA). The Company encourages more ecosystem partners to join this initiative to jointly build a transparent and trustworthy supply chain ecosystem.
- **Grievance and reporting mechanism:** All directors, senior management and employees of the Company, as well as business partners, are obligated to report any facts or suspicions that violate the Policy or any applicable laws and regulations. For

those wishing to file a report, relevant facts of violations, suspected circumstances, other complaints, or issues involved can be submitted to [jubao@jinkosolar.com](mailto:jubao@jinkosolar.com). The Company undertakes to strictly protect the identity information of whistleblowers and the content of reports.

## 2. Supply Chain ESG Risk Management

- **Supplier ESG risk management process:** The Company integrates ESG risk management into the full lifecycle management of suppliers, serving it as a key metric for overall supplier risk management. Meanwhile, the *Regulations on Supplier ESG Risk Management* has been formulated to guide the implementation of supplier ESG risk management and practices. In the development stage, ESG indicators are integrated into procurement requirements, and through methods such as case sharing and oral education, the suppliers ESG management requirements of the Company are actively communicated to potential suppliers. The Company also helps potential suppliers understand their ESG management gaps and formulate ESG improvement plans by sharing best ESG practices and excellent ESG cases with them. In the admission phase, suppliers with excellent ESG performance are prioritized. In the cooperation phase, regular ESG audits and risk assessments are conducted. Suppliers with persistently high ESG risks may face methods such as reduced procurement volumes, suspension of cooperation, or termination.
- **Supplier ESG risk management strategy:** The Company collaborates with authoritative third-party institutions to develop a supplier ESG risk management tool. This tool assesses suppliers across two core dimensions: 1) Business Continuity (comprehensively defined by metrics such as procurement volume etc.); and 2) Supplier ESG Risk Coefficient (comprehensively defined by the supplier's performance across environmental, social, and governance dimensions). By integrating region-specific, sector-specific, commodity-specific risk, etc., suppliers are categorized into three risk tiers: High, Medium, and Low Risk. Based on the results of the risk tier assessment, the Company determines the ESG risk distribution of suppliers by combining their importance coefficients and risk coefficients, and

formulates an ESG action plan accordingly to ensure the stability and security of the supply chain.

- **Supplier ESG audit:** Based on international certification audit requirements such as SSI, RBA, SA8000, etc., and in combination with the legislative and regulatory requirements of regions such as the European Union, Hong Kong, etc., the Company has established a supplier ESG audit system combining both online and on-site audits, as well as second-party and third-party audits. Based on this system, the Company audits the consistency of the ESG performance of suppliers with the provisions of the COC, to avoid major deviations between the ESG performance of suppliers and the requirements of the COC. The audit scope primarily covers key issues such as supplier ESG management system, compliant employment (including prohibition of child labor and forced labor etc.), anti-discrimination and anti-harassment, remuneration and benefits, working hours, occupational health and safety, environmental protection, business ethics (including anti-corruption etc.), freedom of association and collective bargaining, etc. The Company commits that, starting from 2024, it will ensure 100% coverage of ESG risk assessments for key suppliers and 100% coverage of external audits for high-risk suppliers.<sup>3</sup>

**(1) Online audit:** The Company has developed a self-inspection questionnaire for suppliers to assess their current ESG management status. This questionnaire covers various issues, including compliant employment, anti-discrimination and anti-harassment, remuneration and benefits, grievance mechanism, occupational health and safety, environmental protection, anti-corruption, etc.

**(2) On-site second-party audit:** Based on the requirements of the supply chain ESG management system, the Company conducts on-site audits to evaluate the ESG performance of suppliers in dimensions such as social responsibility, environmental protection, business ethics, etc.

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<sup>3</sup> Key suppliers: Suppliers of production-type materials whose total procurement amount accounts for over 90% of the total procurement amount.

**(3) On-site third-party audit:** With reference to mainstream audit standards, the Company has jointly developed an ESG audit standard for the photovoltaic industry with third parties, and conducts on-site audits based on these standards.

**(4) Audit results and rewards and penalties for suppliers:** The results of supplier audit will influence the Company's future cooperation decisions with suppliers. For non-conformities identified in audits, suppliers shall propose ESG improvement plans and communicate the progress of ESG improvement with the Company. For suppliers with poor ESG performance who still fail to meet the minimum requirements after improving within the set timeframe, their procurement volume will be reduced on a case-by-case basis. For suppliers who have failed to improve over an extended period and thus are at high risk, procurement from them will be suspended or they will be phased out. For suppliers whose ESG performance consistently fails to meet the minimum requirements and refuses to implement corrective actions will be terminated from the supply chain. The Company continuously provides focused coaching to suppliers with poor ESG audit performance. The coaching covers compliance management systems, labor rights, occupational health and safety, environmental protection, business ethics, etc., to convey the Company's ESG requirements to suppliers. The Company also promptly motivates suppliers with outstanding ESG performance, including deepening cooperation, awarding honors, granting priority quotas, etc.

### **3.Environmental Protection Requirements for Suppliers**

The Company commits to actively managing the ESG performance of its suppliers in dimensions such as environmental protection, climate change, etc., and requires suppliers to actively fulfill their environmental responsibilities to avoid or mitigate significant negative impacts on the environment across entire value chain business activities.

- **Climate change:** Greenhouse gas emission management requirements are embedded across various supplier management stages, including admission, audit, and

cooperation. Suppliers shall comply with the Company's management requirements for greenhouse gas emissions in the supply chain, set emission reduction targets, and promote low-carbon transformation. The Company commits to implementing emission reduction empowerment initiatives for 100% of key suppliers by the end of 2030.

- ( 1 ) **Admission stage:** The Company integrates greenhouse gas emission management requirements into the COC, and requires suppliers to sign it, promoting suppliers to actively carry out emission reduction actions.
- (2) **Audit stage:** The Company integrates greenhouse gas emission management requirements into the supplier audit requirements, encouraging suppliers to actively formulate emission reduction plans and carry out emission reduction actions.
- (3) **Cooperation stage:** Suppliers are required to support Scope 3 greenhouse gas emission management assessment and data inventory of the Company, and participate in the Company's greenhouse gas emission management training programs.
- **Environmental compliance:** Suppliers shall establish a comprehensive environmental management system, including but not limited to, environmental management policies and regulations, operating procedures and monitoring indicators, etc., to reduce wastewater, exhaust gases, solid waste, noise pollution, and other pollutants in business activities, and to protect the natural environment.
- **Energy management :** The Company encourages its suppliers to actively expand the use of clean energy, implement energy-saving technological renovations, and optimize energy structures to build energy-saving enterprises. The Company commits to implementing energy-saving empowerment initiatives for 90% of key suppliers by the end of 2040.
- **Circular economy:** The Company encourages its suppliers to incorporate emerging issues such as circular economy into management requirements, and urges suppliers



to promote economic sustainable development through efficient resource utilization and circular waste disposal.

- **Water resource management:** Suppliers shall refer to initiatives related to water resource protection, continuously reduce their reliance on and consumption of water resources during business activities, enhance the recycling rate of water resources, and prioritize community water resource security and cross-border pollution prevention and control in their management systems. The Company commits to implementing water-saving empowerment initiatives for 90% of key suppliers by the end of 2040.
- **Biodiversity management:** Suppliers shall comply with the biodiversity conservation-related requirements in the countries and regions where they operate, including laws and regulations on biodiversity management, deforestation, land use, etc., formulate biodiversity conservation policies and systems, and promptly take effective measures to avoid, reduce, restore, regenerate and transform potential or actual negative impacts on biodiversity.

#### 4.Social Responsibility Requirements for Suppliers

The Company commits to actively managing the ESG performance of its suppliers in dimensions such as labor rights, occupational health and safety, etc., taking the initiative to conduct due diligence on conflict minerals, to avoid or mitigate social responsibility risks in business activities.

- **Labor rights:** The Company requires its suppliers to ensure that each of their employees has basic labor rights. Suppliers must not employ or use child labor in any form, must not engage in human trafficking, and must not tolerate forced labor. All forms of harassment and abuse are strictly prohibited, as is any form of employment discrimination. Suppliers must safeguard their employees' equal rights, including the legal rights to join unions, freedom of association and collective bargaining, etc. Suppliers shall ensure that each of their employees has the freedom of movement and protect their employees' freedom of speech and expression.

Suppliers shall ensure that each of their employees receives reasonable rest period and equal remuneration and benefits.

- **Diversity and skills training:** Suppliers shall establish a diverse and inclusive organizational culture, respect and protect the legitimate rights and interests of vulnerable groups such as female employees, ethnic minorities, employees with disabilities, etc. Additionally, suppliers shall provide their employees with equal skills training and career promotion opportunities.
- **Occupational health and safety protection:** The Company requires its suppliers to strictly comply with occupational health and safety-related laws and regulations, establish a comprehensive occupational health and safety production management system, and provide their employees with a safe and healthy working environment. Additionally, the Company requires its suppliers to pay attention to the mental health of their employees and enhance team cohesion. The Company also actively conducts occupational health and safety-related training for suppliers, helping them enhance their management capabilities and reduce the occurrence of safety production accidents.
- **Responsible mineral due diligence management:** The Company commits to conducting mineral due diligence management procedures in five stages: "establishing and strengthening the construction of the management system, identifying and managing risks in the supply chain, mitigating identified risks, conducting due diligence, and publishing and reporting due diligence results", with reference to international due diligence initiatives and standards such as the Responsible Minerals Initiative (RMI) and the *OECD Due Diligence Guidance*. Suppliers shall comply with the Company's management requirements to ensure that the 3TG minerals required for their business activities do not come from conflict-affected and high-risk areas (CAHRA), and to ensure due diligence in their own and their supply chain's mineral management. The Company also actively assesses the necessity of using 3TG materials in its products and encourages its suppliers whose materials provided to the Company contained 3TG minerals to proactively seek alternative mineral sources or obtain minerals from non-traditional sources

(such as recycled materials, industrial waste, etc.), thereby continuously mitigating potential compliance risks in the supply chain. Additionally, the Company commits that, all of its suppliers whose materials provided to the Company contained 3TG minerals must 100% provide a Conflict-Free Minerals Declaration and explain the types and sources of the minerals in the Conflict-Free Minerals Declaration. By the end of 2025, in the core business segment, the Company commits to achieving a 100% completion rate of conflict minerals due diligence for suppliers whose materials provided to the Company contained 3TG minerals.

## 5. Corporate Governance Requirements for Suppliers

The Company commits to actively managing the ESG performance of its suppliers in dimensions such as compliance management, business ethics, information security and privacy protection, intellectual property protection, etc., to avoid or mitigate compliance risks in business activities.

- **ESG governance:** Suppliers shall actively develop ESG management system construction, set ESG management targets and plans, and promote the improvement of ESG performance. Suppliers shall strive to build a diversified Board of Directors.
- **Compliance management:** The Company encourages its suppliers to develop compliance management-related procedures, identify potential risks, and conduct risk assessments to adopt corresponding risk mitigation strategies.
- **Business ethics:** The Company encourages its suppliers to formulate anti-corruption policies and conduct regular internal audits and external audits. Suppliers shall prohibit any form of bribery, corruption, extortion, money laundering, and any other unfair or illegal business practices. Suppliers shall also refrain from any form of illegal conspiracy activities, avoid all forms of conflicts of interest and related illegal behaviors. Suppliers shall not engage in monopolistic, fraudulent, price manipulation or any other behavior that may distort fair market competition.

- **Trade compliance:** Suppliers shall strictly abide by the export control-related laws and regulations of the country or region where their business activities are located, pay attention to the changes in export control-related laws and regulations, and promptly and dynamically adjust their compliance management policies to achieve export control compliance management in all their business processes.
- **Respect and protection of intellectual property:** Suppliers shall attach great importance to intellectual property management. The Company encourages its suppliers to protect their own intellectual property rights from infringement and avoid infringing upon others' intellectual property rights at the same time.
- **Information security and privacy protection:** Suppliers shall comply with the Company's policies on information security and privacy protection, and shall not privately transfer information to third parties without explicit written consent. Suppliers shall pay attention to cybersecurity management, ensure the prevention of major cybersecurity incidents, and continuously enhance business continuity.